Technical Assistance Framework

IADI Training and Capacity Building Unit

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Introduction

The International Association of Deposit Insurers (IADI) is the international Standard-Setting Body (SSB) for deposit insurance systems. It contributes to the stability of financial systems by enhancing the effectiveness of deposit insurance and promoting international cooperation among deposit insurance systems.

To achieve its mission statement, IADI set four Strategic Goals covering the 2022-2026 period, as follows:

- Promoting the *Core Principles for Effective Deposit Insurance Systems* and deposit insurance compliance;
- Advancing deposit insurance research and policy development;
- Providing Members with the technical proficiency to modernize and upgrade their systems; and,
- Enhancing IADI's governance by improving efficiency and transparency.

By fulfilling these Goals, the Association, through the leadership of its Committees and Secretariat, will assist Members in enhancing and modernizing their deposit insurance systems and strengthen the role of deposit insurance in promoting global financial stability.

The Technical Assistance Framework (TAF) is an initiative that derives from the implementation of the third goal. With the TAF, the Training and Capacity Building Unit (TCBU) aims to build a collaborative framework to better serve IADI Members, by matching their assistance needs with various types of potential providers that could support them in implementing actions or improvements to their deposit insurance system to close gaps in compliance with the Core Principles.

Technical Assistance Framework

The Technical Assistance Framework sets out a process to assist IADI Members in developing institutional enhancements or reforms by either facilitating direct support from other IADI Members and/or international organisations, or directing them to an appropriate capacity building initiative, via a matching process. The ultimate goal of the TAF is to provide the necessary support to IADI Members seeking to enhance their compliance with the IADI Core Principles.

This collaborative framework, managed by the IADI Secretariat Technical Assistance and Capacity Building Unit (TCBU), is operated under the guidance and supervision of the Capacity Building Technical Committee (CBTC) and the Training and Technical Assistance Council Committee (TTAC).

The Technical Assistance Framework will comprise three elements, as follows.

1. Identification of technical assistance inputs

The TAF sets out a collaborative process in which IADI Members seeking technical assistance (henceforth "requestors") and IADI Members or other parties able and willing to provide technical assistance (henceforth "providers") are matched to facilitate either the delivery of peer-to-peer technical assistance or access to one or more capacity building initiatives (e.g., workshops). The process to identify potential providers and requestors is essential to guarantee that the mechanism can be implemented and useful to IADI Members.

To gather these inputs, the TCBU will build and administer a repository of the IADI Member deposit insurers that are interested in receiving and/or providing technical assistance and/or capacity building to other Members, as well as additional programs that may be available from IADI Partners or other international financial organizations. The TCBU will be responsible for regularly updating this repository and including any information that could facilitate the matching process, building on knowledge from completed requests.

The main inputs to be included in the repository come from the following sources:

a. Annual call

The TCBU will undertake an annual call to identify IADI Members who are able and willing to provide peer assistance. In addition, the annual call will help to identify potential areas where training or assistance are needed. The annual call is not the venue for Members to make technical assistance requests, those will be made on an ad-hoc basis. The annual call will be released during the first two months of the calendar year, and will be useful to detect areas of work for the TCBU and potential synergies on technical assistance.

b. Results from regional workshops

The gaps and weaknesses identified during regional technical assistance workshops, either by a region or by individual Members, also provide relevant information to the TCBU on trends and needs among IADI Members. Results from the workshops will also be considered when establishing a capacity building or training program.

c. Action plans derived from assessment results

IADI Members regularly undertake assessments to review their compliance with the Core Principles, either via a self-assessment, the Self-Assessment Technical Assistance Program (SATAP) and/or third party reviews. Actions plans resulting from these assessments may motivate deposit insurers to request technical assistance support or benchmark the practices from other organisations. This information is useful for the TCBU to consider when putting together a training program or considering potential technical assistance needs.

d. Technical assistance and capacity building initiatives with other standard setting bodies

IADI, as a standard setter on deposit insurance, may identify potential synergies with the training and technical assistance initiatives hosted by other standard setting bodies (SSBs) and IADI Partners[1]. To do so, the TCBU will arrange bilateral meetings to discuss potential joint efforts that can be included in the TAF.

2. Matching process

The following are the steps to allocate requestors in a technical assistance solution:

Step 1: Reception of Requests

The requests raised by IADI Members will be processed on an ad-hoc basis. The prioritization will be based on the complexity, resources necessary for the request, and how quickly they can be addressed.

In order to obtain details regarding a technical assistance request, the TCBU will implement a ***request form*** (*see Annex 1*) to collect: i) institutional contacts; ii) technical assistance

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[1] IADI Partners are not-for-profit entities that enter into a cooperative arrangement with the Association in the pursuit and furtherance of the Objects of the Association. IADI presently has 16 Partners.

characteristics; and, iii) expected logistic arrangements. An IADI Member seeking technical assistance must submit the completed format to the TCBU.

The TCBU will act as the first filter, reviewing the information received from IADI Members. The TCBU will review the information provided and request any necessary clarifications to ensure the information is adequate to be matched with a potential provider.

If an adjustment or clarification is needed, the TCBU has seven working days after confirming reception to request it. Complex technical assistance requests may be discussed with the CP Experts for further analysis or suggestions.

Step 2: Identifying potential providers

The TCBU will establish whether the request can be addressed by: i) a peer-to-peer technical assistance initiative; ii) an existing capacity-building program; iii) a targeted IADI workshop developed to address a specific group of requests; or iv) a technical assistance program or initiative from another SSB or IADI Partner.

If peer assistance is determined by the TCBU and the requesters to be the best way to address the request, the TCBU will act as a facilitator. The TCBU will provide all the administrative and logistical support necessary to grant the provision of the peer assistance, covering aspects such as delivery times, resources, and agreeing on a preliminary work plan to carry out the assistance, among others. The TCBU is expected to cover this step in 30 to 45 working days, providing continuous communications between the parties[2].

If the request is addressed via any of the other available mechanisms, the TCBU will indicate the next steps and facilitate the requestor's participation in the respective capacity building or training program.

Step 3: Technical assistance delivery

As previously stated, the TCBU will act as a facilitator of the technical assistance delivery process. In this capacity, the TCBU will monitor the execution of the technical assistance or capacity building activities, address any situation that could affect its timely implementation, and ensure that regular communication is in place to facilitate the process.

During this step, the TCBU will also keep a record of the technical assistance delivered by including a tracking table in the repository. The table will include information on the requesting jurisdiction, the potential providers, the selected provider, the agreed conditions for providing technical assistance, the starting and end date of the program, and the evaluation of the process, delivery method, and results.

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[2] This time is subject to the response times from the parties and the development of the negotiation process.

Step 4: Evaluation

After the technical assistance or capacity building is delivered, the TCBU will collect the participants' evaluation and feedback within 7 working days of the program's completion. This assessment will cover various aspects, including logistics, program development, TCBU's role as facilitator, and the overall benefits and success of the program.

At the end of each calendar year, the TCBU will compile, analyse and inform the CBTC and TTAC of the results obtained from implementation of the TAF, based on the feedback from IADI Members participating in this process as requestors and/or providers.

These reports will also provide information on the implementation progress of this project and may motivate Members to use the TAF as a tool for enhancing compliance with the Core Principles or upgrade/modernize their systems.

Summary table

The following table provides an overview of the matching process, including the activities and the communication efforts to be covered in each step:

	Step 1 Request reception	Step 2 Identifying potential providers	Step 3 Technical assistance delivery	Step 4 Evaluation
Activities	TCBU receives the request, reviews it and ask for the necessary clarifications.	Determine if the request can be addressed by: • Peer-to-peer assistance • Existing capacity building programs • Targeted IADI workshop • IADI Partner/SSBs assisstance	Provide necessary support to deliver TA through: • Peer assistance • IADI/IADI Members capacity building initiatives • IADI Partner/SSBs TA	Participants' evaluation and feedback: • Logistics • Program develpment • TCBU role as facilitator Consolidated report to the CBTC and TTAC on TAF progress
Communications	• 7 days to request additional information/adjustments after confirming the reception	• 30-45 days to find a counterpart once the request is clear • Regular updates and communications	• Regular updates and communications	• Evaluation: 7 days after the technical assistance is delivered

3. Communications plan

In order to increase awareness and understanding of the TAF among the IADI community, the TCBU will build an effective communication plan aimed at mobilising interested parties to take advantage of the technical assistance and capacity building initiatives.

To this end, the TCBU will prepare and implement a Communications Plan for the TAF every year, subject to the approval of the CBTC, including, but not limited to, the following activities:

- Informing Members on how to request and access technical assistance provided by IADI
- Annual call participation
- Report on capacity building and technical assistance opportunities offered by IADI, IADI partners and other SSBs.
- TAF results and assessment

Channels

Finding appropriate communication channels is key to inform stakeholders on the strategies put in place to provide technical assistance and motivate them to increase their engagement with the Association's technical assistance initiatives and strategies.

The suggested communication channels and tools for increasing awareness are[3]:

- Regular email communications (at least quarterly)
- A dedicated space in the IADI external website
- Q&A sessions on how to complete the request form and request support from IADI
- Messages to be distributed during IADI conferences, workshops and meetings

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[3] Communications sent via these channels will include the elaboration of new pieces.

Annex 1 – Peer assistance request form

Part I. Institutional information

1. **Name of the recipient agency**
   Click or tap here to enter text.

2. **Jurisdiction**
   Click or tap here to enter text.

3. **Name of the individual making the request** *(Including title/position)*
   Click or tap here to enter text.

4. **Name of the contact person in the agency (if different from the individual making the request)**
   Click or tap here to enter text.

5. **Email of the contact person**
   Click or tap here to enter text.

6. **Date of request**
   Click or tap to enter a date.

Part II. Technical assistance characteristics

7. **Gap statement** *(Indicate the specific gap(s) that this request aims to address.)*
   Click or tap here to enter text.

8. **Project objectives** *(Indicate the expected project output(s), which would determine the success of the TA. For example: The project aims to support [requestor] to benchmark the current practices on differential premium system implementation.)*
   Click or tap here to enter text.

9. **Main questions to be addressed with the peer assistance request** *(Indicate specific questions that allow providers to understand what elements you would like to address with the TA. Include as many questions as necessary. For example: What variables were considered to develop the differential premium system?)*
   Click or tap here to enter text.

10. **Do you have an estimated implementation timeframe?**
    Click or tap here to enter text.

11. After the request, the technical assistance will contribute to:
☐Strengthen operational capacities ☐Benchmarking international practices
☐Comply with the Core Principles ☐Implement a corporate/business plan
☐Other (please specify)
Click or tap here to enter text.

12. Has the request been addressed previously with other DIAs or regional/multilateral agencies (World Bank, IMF, etc.), or are there any efforts done internally that you would like to share with the technical assistance provider? *(If yes, include the name(s) of the organization(s).)*

Click or tap here to enter text.

Part III. Logistic arrangements

13. If necessary, who will finance technical assistance?
☐Requesting agency ☐TA provider
☐Other multilateral organisation ☐Not defined
☐Not necessary

14. If applicable, please specify if funding covers:
☐Flight ☐Meals
☐Accommodation ☐Transport
☐Expert salary ☐Other (please specify)

Click or tap here to enter text.